

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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HARBORVIEW MASTER FUND, LP,

CIVIL ACTION NO. 07 Civ. 9228 (NRB)

Plaintiff,

-against-

LIGHTPATH TECHNOLOGIES, INC.,

**RULE 7.1 STATEMENT**

KENNETH BRIZEL and ROBERT RIPP,

Defendants.

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Pursuant to Federal Rule of Civil Procedure 7.1, the undersigned counsel for Defendant LightPath Technologies, Inc., certifies that the following publicly-traded companies own 10% or more of its stock:

NONE

Respectfully submitted,

**BAKER & HOSTETLER LLP**

By:

Ona T. Wang (OW-5462)

Benjamin D. Pergament (admission pending)

Rebecca S.T. Khalil (New York state admission pending)

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*Attorneys for Defendants*

**CERTIFICATE OF SERVICE**

I hereby certify that on this 21<sup>st</sup> day of December, a copy of the foregoing **RULE 7.1 STATEMENT** was filed electronically. Notice of this filing was sent to all parties by operation of the Court's electronic filing system.



Theresa Blaber  
Paralegal